



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Stephen J. Turner, Patrick M. Hogan, William E. Gibson Art Unit : 2122
Serial No. : 09/800,597 Examiner : Wei Y. Zhen
Filed : March 6, 2001
Title : A METHOD AND SYSTEM FOR ASSEMBLING AND UTILIZING COMPONENTS IN COMPONENT OBJECT SYSTEMS

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

Applicants submit the references listed on the attached form PTO-1449. Under 35 USC §120, this Application relies on the earlier filing date of application serial number 08/845,583, filed April 25, 1997 (now US Patent Number 6,230,309 issued May 8, 2001). The references listed on the attached PTO-1449 were submitted to and/or cited by the Office in the prior application (08/845,583) and, therefore, are not provided in this Application.

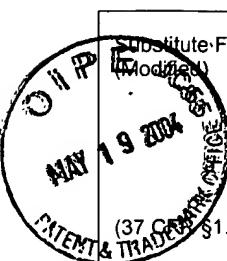
This filing is being made with the filing of a Request for Continued Examination. No fee is required. Please note that Applicants filed a Revocation and New Power of Attorney on May 18, 2004.

Respectfully submitted,

A handwritten signature in black ink, appearing to read "THOMAS H. REGER II".

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Substitute Form PTO-1449
(Modified)U.S. Department of Commerce
Patent and Trademark OfficeAttorney's Docket No.
17646-006002Application No.
09/800,597
**Information Disclosure Statement
by Applicant**
 (Use several sheets if necessary)

(37 CFR 1.98(b))

Applicant
Stephen J. Turner, Patrick M. Hogan, William E.
GibsonFiling Date
March 6, 2001Group Art Unit
2122**U.S. Patent Documents**

Examiner Initial	Desig. ID	Document Number	Publication Date	Patentee	Class	Subclass	Filing Date If Appropriate
	AA	5,019,961	05/28/91	Addesso, et al.			
	AB	5,295,222	03/15/94	Wadhwa, et al.			
	AC	5,487,141	01/23/96	Cain, et al.			
	AD	5,627,979	05/06/97	Chang, et al.			
	AE	5,659,723	08/19/97	Dimitrios, et al.			
	AF	5,659,751	08/19/97	Heninger			
	AG	5,673,199	09/30/97	Gentry Roy			
	AH	5,675,801	10/07/97	Lindsey Anthony			
	AI	5,758,351	05/26/98	Gibson, et al.			
	AJ	5,864,862	05/1999	Kriens, et al			
	AK	5,907,846	05/1999	Berner, et al.			
	AL	5,991,535	11/1999	Fowlow, et al.			
	AM	6,029,207	02/2000	Heninger			

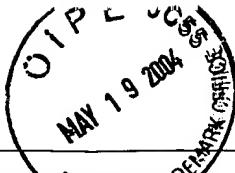
Foreign Patent Documents or Published Foreign Patent Applications

Examiner Initial	Desig. ID	Document Number	Publication Date	Country or Patent Office	Class	Subclass	Translation	
							Yes	No
	AN							
	AO							
	AP							
	AQ							
	AR							

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AS	Kraig Brockschmidt, "Inside Ole," Second Edition, Microsoft Press, Copyright © 1995 by Kraig Brockschmidt
	AT	"CORBA Services: Common Object Server Specification," Revised Edition, 31 March 1995, OMB Document Number 95-3-31, located at http://www.omg.org/docs/1995/95-03-31.pdf , last accessed on March 8, 1999.

Examiner Signature	Date Considered
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	



Substitute Disclosure Form PTO-1449 (Modified)	U.S. Department of Commerce Patent and Trademark Office	Attorney's Docket No. 17646-006002	Application No. 09/800,597
Information Disclosure Statement by Applicant (Use several sheets if necessary) (37 CFR §1.98(b))		Applicant Stephen J. Turner, Patrick M. Hogan, William E. Gibson	
		Filing Date March 6, 2001	Group Art Unit 2122

Other Documents (include Author, Title, Date, and Place of Publication)

Examiner Initial	Desig. ID	Document
	AU	Rational Rose 4.0, Rational Rose Corporation, CA, 1996
	AV	Mittermeir, et al. "Software bases and software archieves", IEEE, pp. 21-28, July, 1987
	AW	Floch et al. "Supporting evolution and maintenance by using a flexible automatic code generator", ICSE ACM pp. 211-219, January, 1995
	AX	Wu, et al. "StartOSphere: Mobil processing of distributed objects in Java", MOBICOM ACM pp. 121-132, 1998
	AY	Keller, et al. "Design Components; toward software composition at the design level" IEEE pp. 302-311, July, 1987

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